



International Securities Market

Quality. Substance. Trust.

Listing Sponsor Contact Information

For Prospective Issuers

GETTING STARTED

Issuers may select from among the following approved Listing Sponsors.






Your Listing Sponsor will:

- Educate you on the initial and ongoing listing requirements
- Co-ordinate legal due diligence and ensure compliance with applicable rules
- Manage communication with the Stock Exchange and the Financial Services Commission (FSC)
- File your [Listing Application Form](#) with the Exchange and your [Registration of Securities](#) with the FSC; and
- Provide ongoing advice and support throughout the life of your listing.

Review Timeline:

- The ISM's **Executive Committee** is committed to reviewing all Listing Application Forms within 2 weeks of submission.
 - The ISM Executive Committee will approve/reject the listing application pending the FSC's decision on the Registration of Securities. The FSC will issue a decision within 30 days of your submission.
- Once approved by the FSC, the ISM Executive Committee will issue a "New Issuer" Press Release to the market.

The ISM is pleased to promote your listings via:



ISM Listing Sponsors

Centurion

Chancery Chambers is a well-respected firm in Barbados. The strength of the firm is exemplified in the accomplishments of Chairman Sir Trevor Carmichael, who was sworn in as an independent senator in March 2013 and received the Knight of St. Andrew, a prestigious award in Barbados in that same year.

The firm is known for advising international businesses in matters such as forming entities, registering offices and shares, and amending articles.

Centurion Assurance Services Ltd.

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Key Contacts

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- **Giles Carmichael – Partner**
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ISM Listing Sponsors



ListAssist

ListAssist Services (Barbados) Ltd., is a Listing Sponsor for the International Securities Market (“**ISM**”) of the Barbados Stock Exchange (“**BSE**”) and has completed the first listing on the ISM.

ListAssist Services (Barbados) Ltd., is associated with Clarke Gittens Farmer, a leading commercial law firm in Barbados. Clarke Gittens Farmer has a group of legal professionals dedicated to providing superior service in Barbados, Jamaica, Trinidad and Tobago and the Eastern Caribbean. As the Barbados member of Lex Mundi, the world’s leading association of independent law firms, Clarke Gittens Farmer combines global connections with local expertise for the benefit of its clients.

ListAssist provides services which include the following:

- Advice to the potential issuer on the application process, listing requirements and obligations under the ISM rules;
- Acting as the primary point of contact with the BSE on behalf of the potential issuer/listed entity; and
- Advice and assistance in connection with ongoing regulatory filings and compliance on behalf the listed entity.

ListAssist Services (Barbados) Ltd.

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ISM Listing Sponsors



DGM Financial Group has, for the past 20 years, been providing comprehensive international financial services to individuals, trusts, corporate and captive insurance clients worldwide. DGM Trust Corporation is licensed by the Central Bank of Barbados to provide fiduciary services including Trusts, Foundations and Family Offices. As a licensed service provider, DGM provides a full suite of corporate services including incorporation, administration, accounting, registered office and director services to international companies as well as the management of exempt insurance companies.

Trust, transparency, integrity and discretion are our core values and are the foundation for our success and longevity.

DGM Financial Group

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ISM Listing Sponsors



ISM Sponsor Services Ltd., is a full service listing sponsor for listings and subsequent regulatory maintenance on the International Securities Market (“ISM”) of the Barbados Stock Exchange. We are part of the Lex Caribbean group (the “ISM/Lex Group”) and as such work closely and leverage, for the benefit of our clients, synergies with Lex Caribbean law firm and Lex Caribbean Corporate Services Inc.

The ISM/Lex Group comprises a team of professionals dedicated to advising local and global financial institutions, multinationals, governments and high net worth individuals on a wide variety of high value transactions. We work across many industry sectors including renewable and non renewable energy, mining, oil and gas, telecommunications, IT, media, food and beverage, pharmaceuticals, hospitality and property development. We are proactive, responsive and solution-driven. We partner with our clients. We are motivated by their priorities and committed to their success.

Lex Caribbean

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COST EFFECTIVE

GLOBAL STANDARDS

BARBADOS ADVANTAGE

The Barbados Stock Exchange

The **Barbados Stock Exchange**, commonly known as the BSE and formerly the Securities Exchange of Barbados, was re-incorporated on August 2, 2001 in accordance with the Securities Act 2001-13, which also enabled the establishment of the Barbados Securities Commission which on April 1st, 2011 was subsumed by the Financial Services Commission (FSC). The FSC is responsible for regulation of the Insurance sub-sector, Co-operative and Non-Banking Financial sectors and the entire Barbados Capital Market, including Self-Regulatory Organizations and public companies whether listed or not.

The Barbados Stock Exchange and its wholly owned subsidiary, the Barbados Central Securities Depository (BCSDI) are designated as Self Regulatory Organizations (SROs) under the Securities Act and are regulated by the Financial Services Commission.

To inquire about listing on the **International Securities Market**, kindly contact a member of our Business Development team:

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