

## Appendix 6 B - Listing Sponsor's Review And Recommendation Declaration Form

### **DECLARATION TO THE BARBADOS STOCK EXCHANGE AND RECOMMENDATION FOR LISTING ON THE ISM**

Date:

Listing sponsor:

Applicant for listing:

Security to be listed:

Contact information for the listing sponsor's representative responsible for this listing application:

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## **DECLARATION**

1. I am a director, officer or partner of the listing sponsor.
2. I am authorized by the firm to provide this declaration and am familiar with the activities we have carried out as the listing sponsor for this listing application.
3. We as the listing sponsor responsible for this listing application have carried out a due diligence review of the applicant, and have made due enquiries of the applicant about all information and documents filed with the application, as well as all material facts, events and circumstances relating to application.
4. We as the listing sponsor for this application have fulfilled all of our responsibilities under the ISM listing sponsor rules.
5. We have satisfied ourselves to the best of our knowledge and belief that:
  - 5.1. All information and documents required by the listing rules to be filed with this application for listing have been filed with the Exchange;
  - 5.2. All the applicable requirements for listing of the applicant and other requirements of the listing rules have been complied with;
  - 5.3. There are no other material facts, events or circumstances relating to the applicant that the Exchange should reasonably consider in considering the application, other than those disclosed in the application for listing;
  - 5.4. The directors of the applicant understand the nature of their responsibilities as a listed issuer on the ISM;
  - 5.5. The applicant can be expected to comply with their obligations under the listing rules;
  - 5.6. The applicant is currently in compliance with the listing rules.
6. If we as listing sponsor become aware of any change in the information filed with the Exchange, or of any other material facts, events, or circumstances relating to the applicant that the Exchange should reasonably consider in reviewing the application, we will immediately notify the Exchange.
7. We as listing sponsor recommend the applicant for a listing on the ISM.

EXECUTED ON BEHALF OF

[Name of listing sponsor]

Date

By \_\_\_\_\_

Title:

[Director, officer or partner of the listing sponsor]

Contact information for signatory to this Declaration:

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